

# *EVOLUTIONS OF SMALL BODIES IN OUR SOLAR SYSTEM*

*Dynamics and collisional processes*

Dr. Patrick MICHEL  
Côte d'Azur Observatory  
Cassiopee Laboratory, CNRS  
Nice, France

# *Plan*

## ⊕ Chapter I:

A few concepts on dynamics and transport mechanisms in the Solar System; application to the origin of Near-Earth Objects (NEOs)

## ⊕ Chapter II:

On the strength of rocks and implication on the tidal and collisional disruption of small bodies

# Preliminaries: orbital elements

$a$  = semi major axis

$e$  = eccentricity

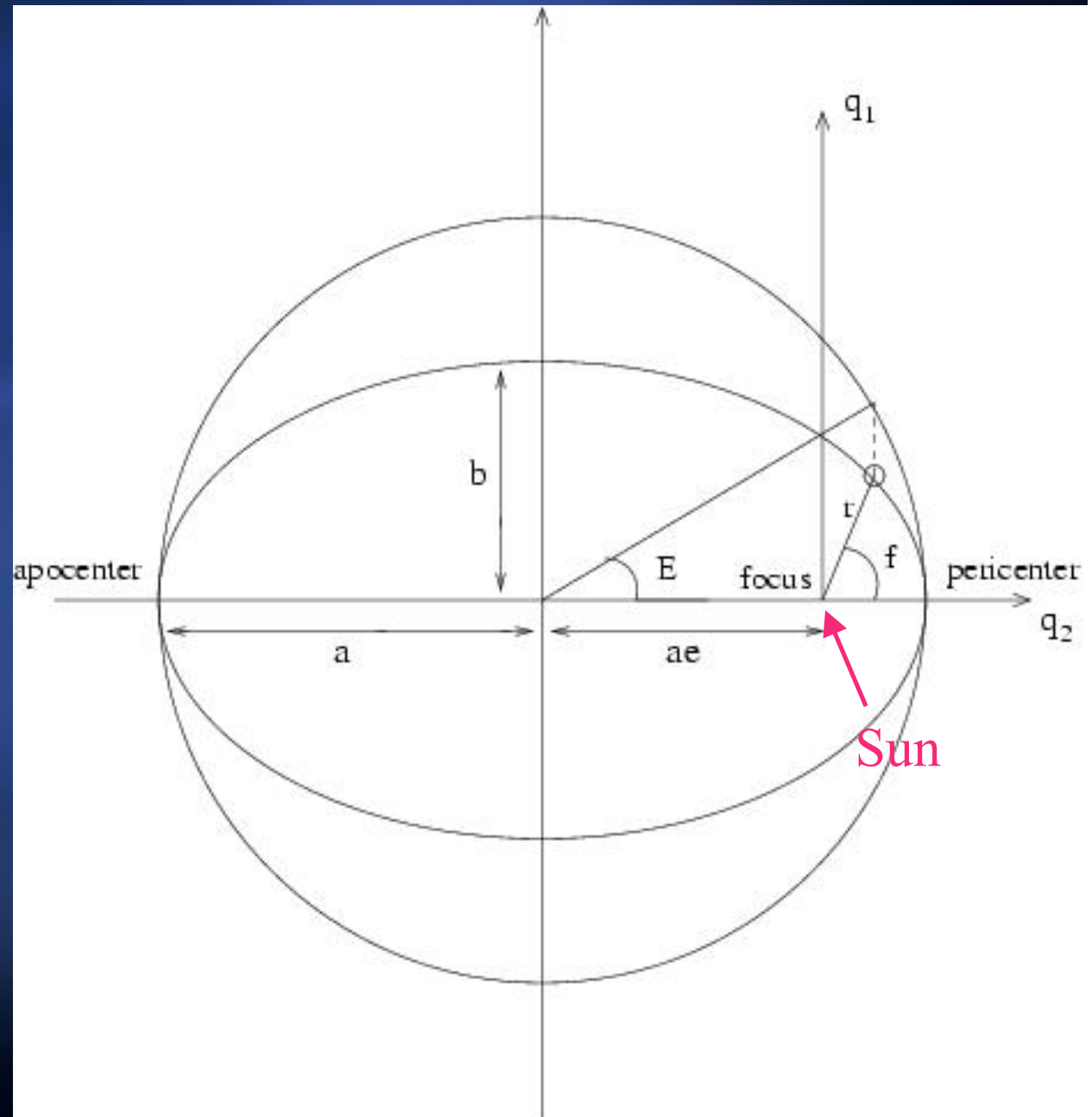
$f$  = true anomaly

$E$  = eccentric anomaly

Mean anomaly:

$M = E - e \sin E = n t$

with  $n = (GM_*)^{1/2} / a^{3/2}$   
(orbital frequency)



# Preliminaries: orbital elements

$i$  = inclination

$\Omega$  = longitude of node

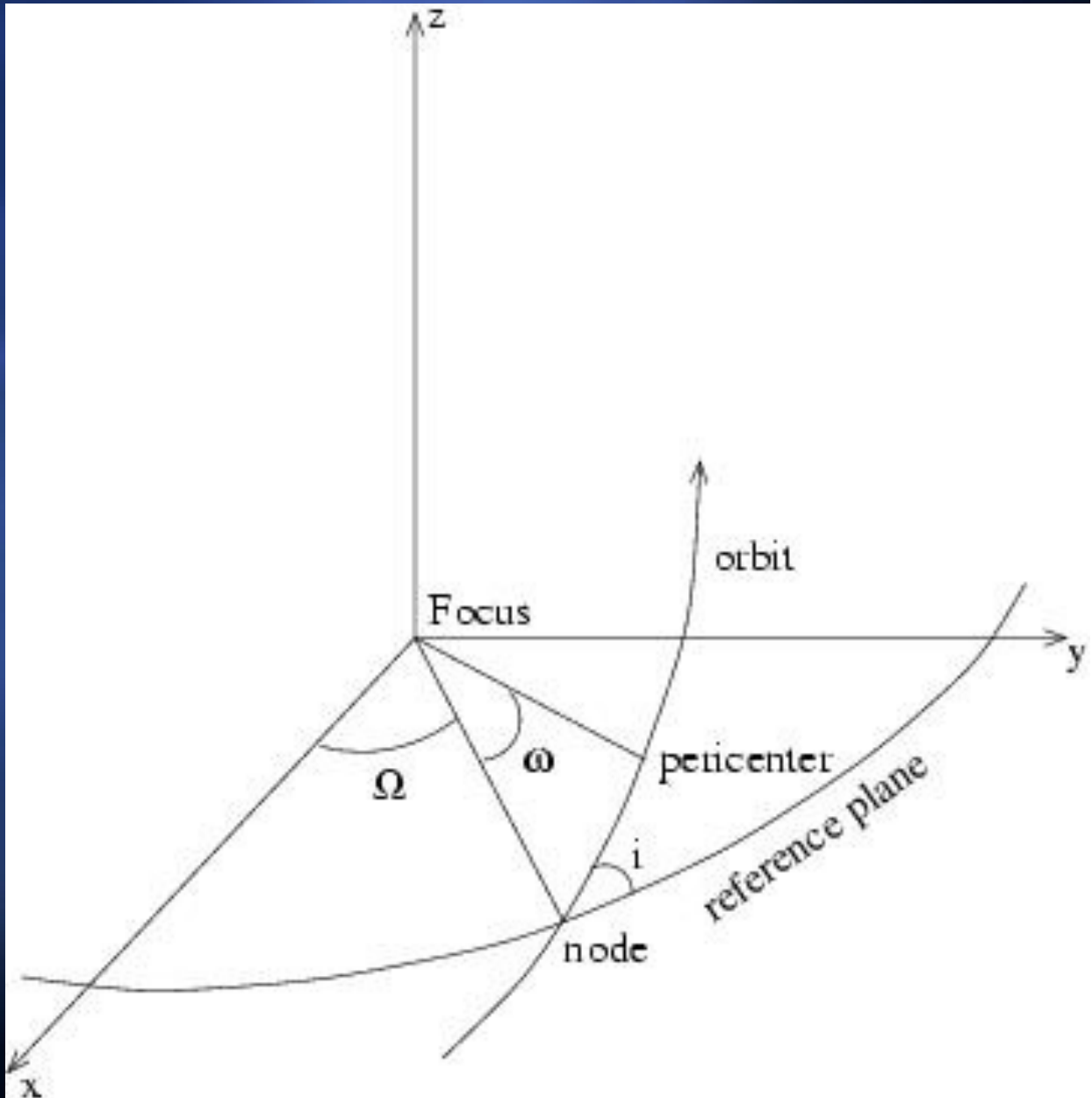
$\omega$  = argument of pericenter

Longitude of pericenter:

$$\varpi = \omega + \Omega$$

Mean longitude:

$$\lambda = M + \varpi$$

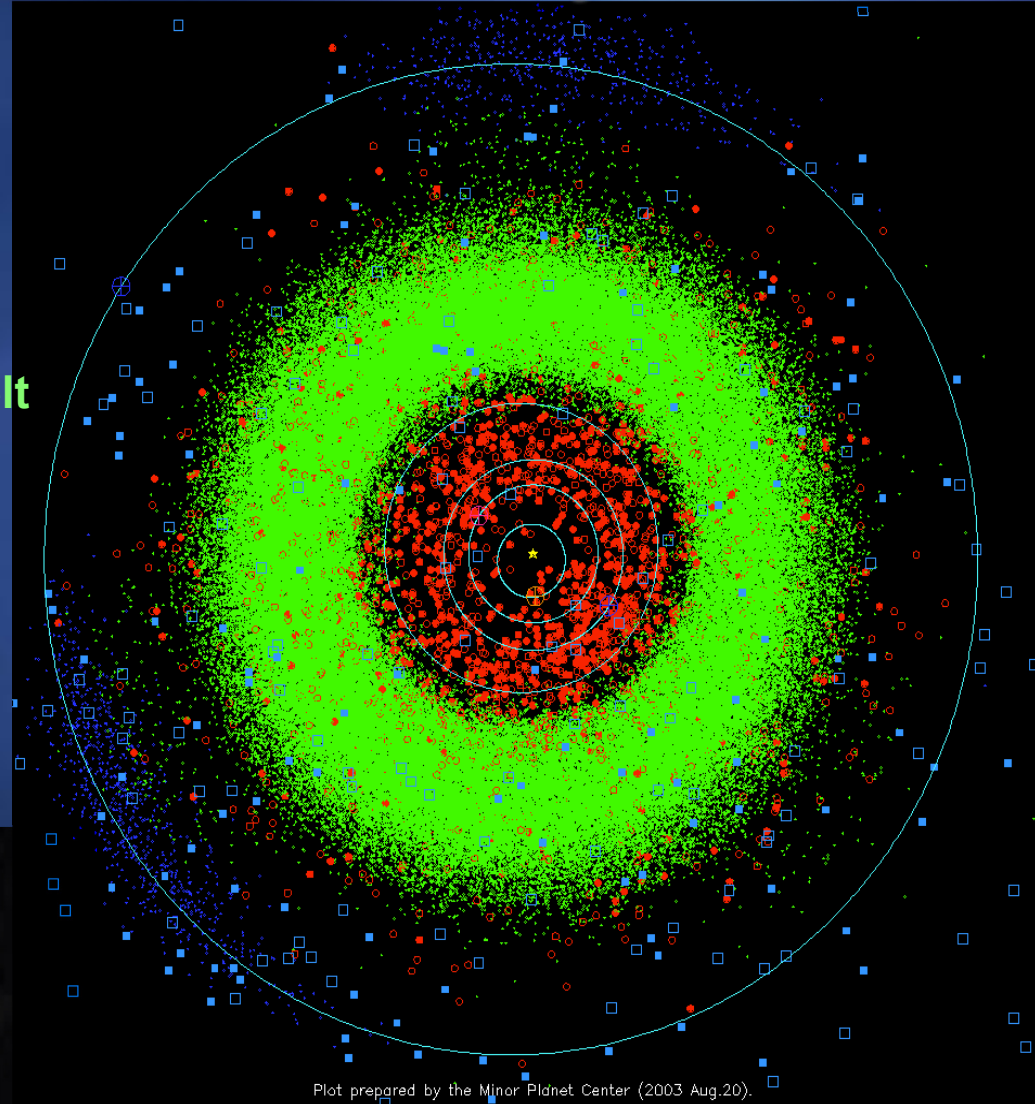


# The small body populations in the Inner Solar System

Green: Asteroid Main Belt

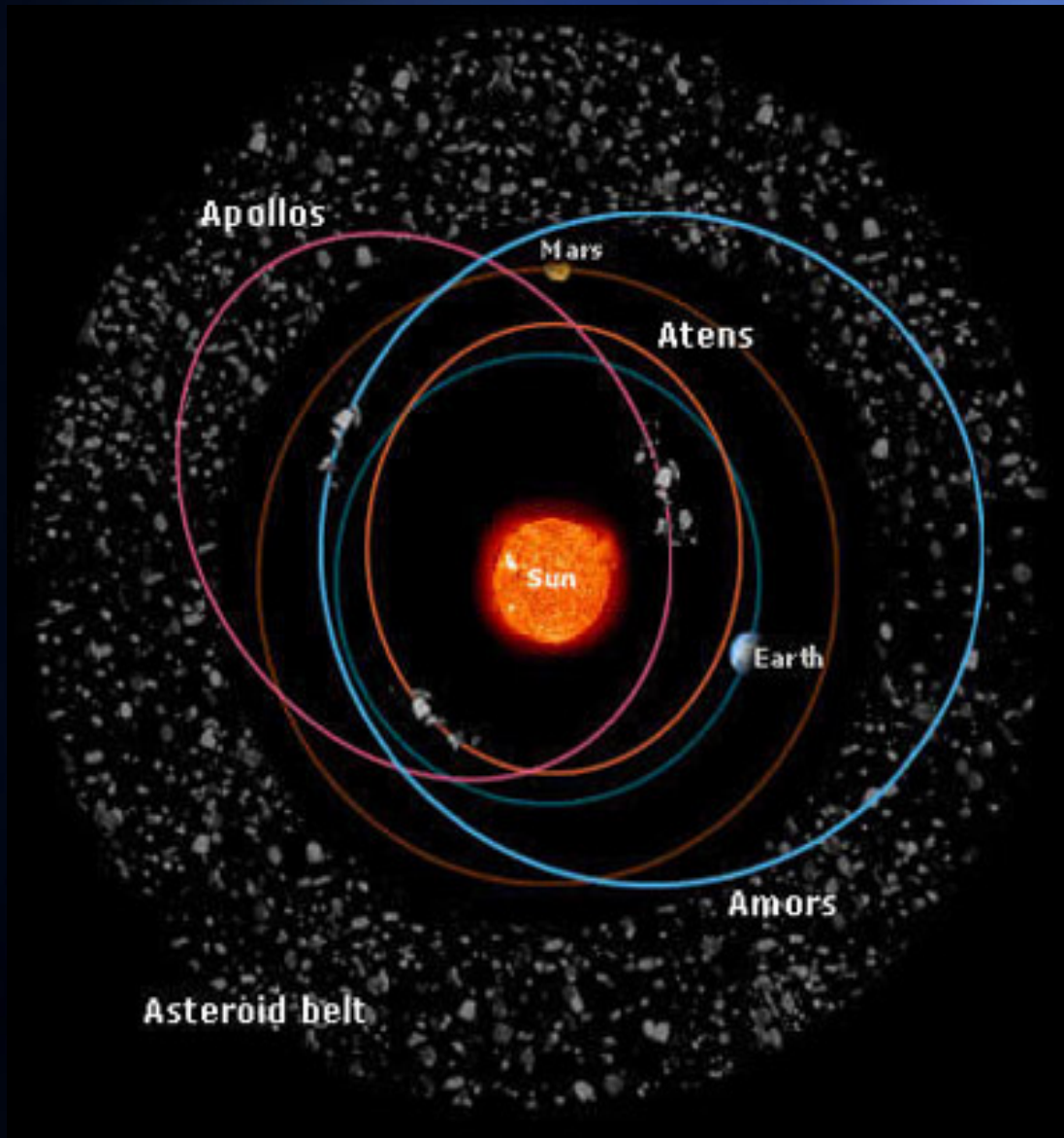
Blue squares: Comets

Red: objects with  
perihelion distance  
 $q < 1.3$  AU



Plot prepared by the Minor Planet Center (2003 Aug.20).

# The NEO population



•Amors:  $a > 1 \text{ AU}$   
 $1.017 < q < 1.3 \text{ AU}$

•Apollos:  $a > 1 \text{ AU}$   
 $q < 1.017 \text{ AU}$

•Atens:  $a < 1 \text{ AU}$   
 $Q > 0.987 \text{ AU}$

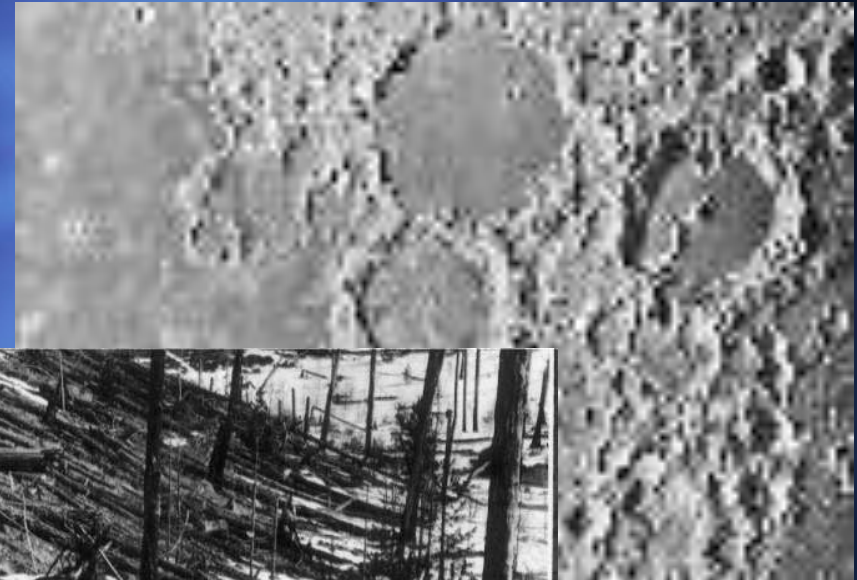
•IEOs:  $a < 1 \text{ AU}$   
 $Q < 0.987$

1000 Objects  
with  $D > 1 \text{ km}$ ,  
 $\approx 500$  discovered

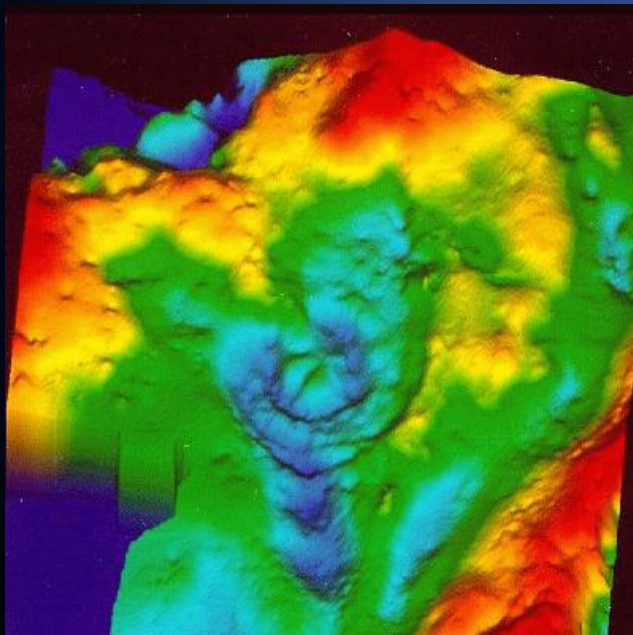
# The NEO threat!

**Impacts are real facts!**

Moon



Venus



Earth:  
Tunguska, 1908

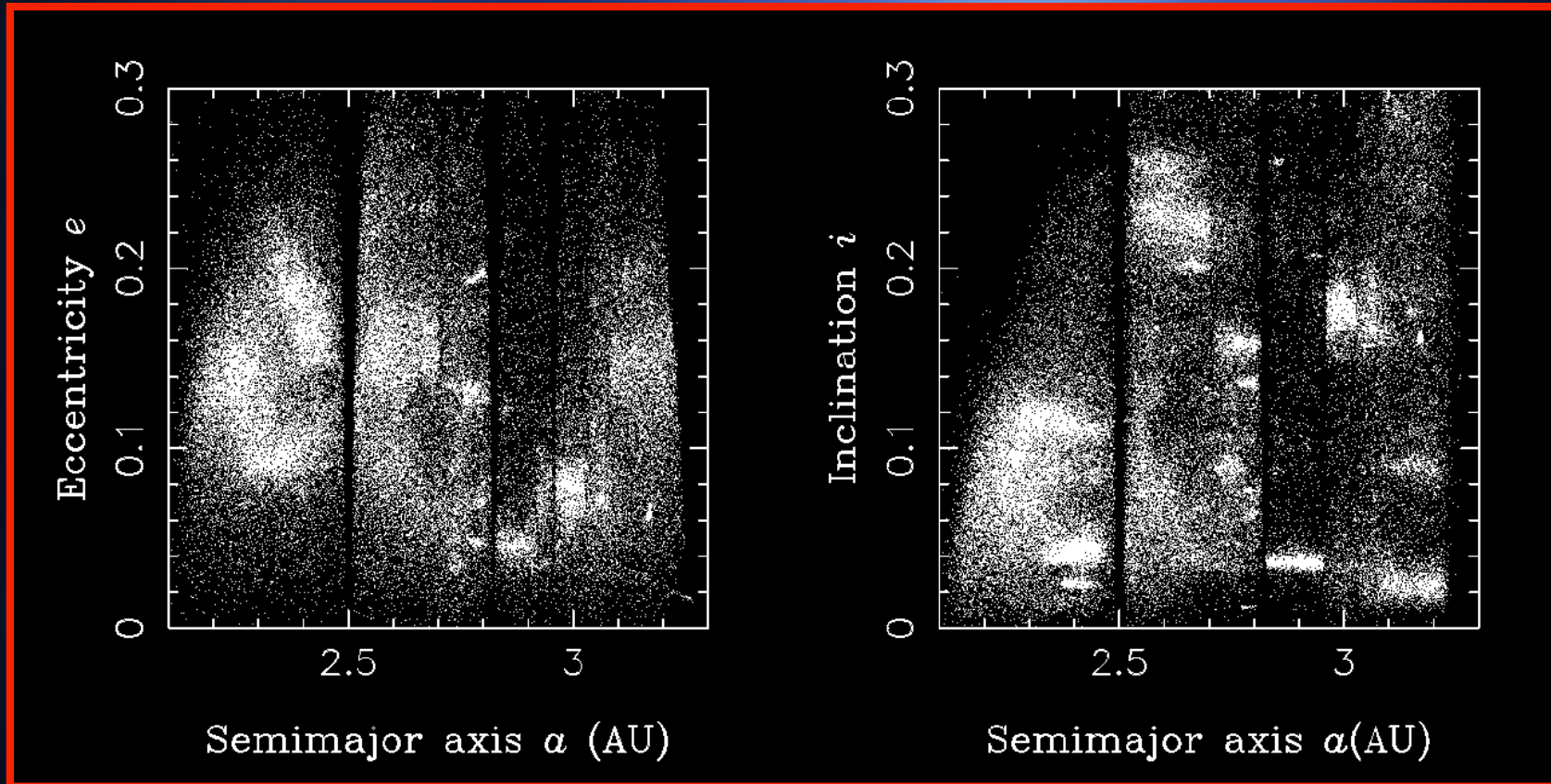
**The least likely natural disaster BUT the only that may be predicted and avoided!**

# *Main transport mechanisms in the Solar System*

- ⊕ Fast mechanisms:
  - Mean motion resonances with planets
  - First-order secular resonances with planets
- ⊕ Slow diffusions (not described in this lecture):
  - Non-gravitational effects (Yarkovsky)
  - High-order and three-body resonances

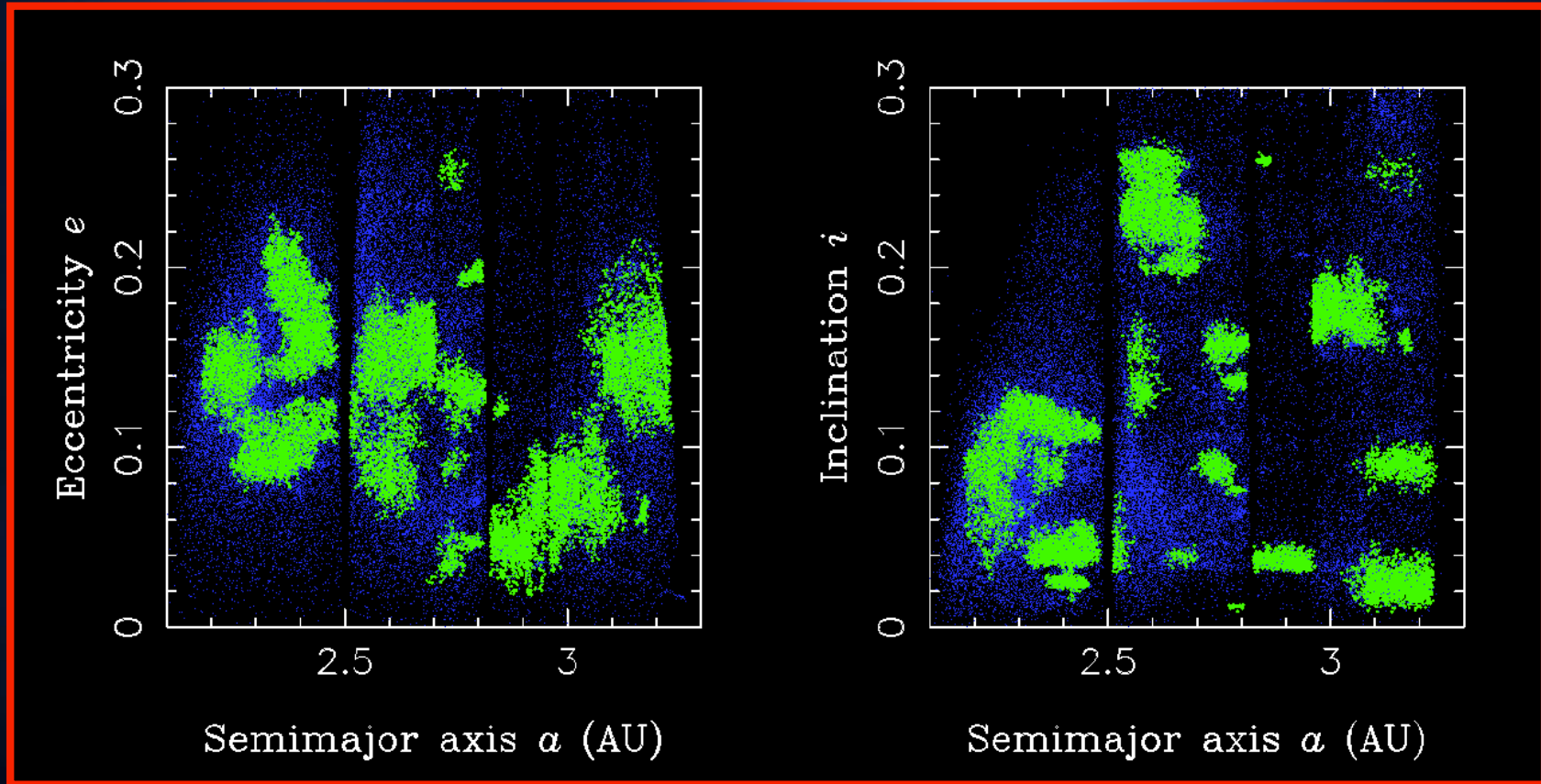


# The Kirkwood gaps in the asteroid Main Belt

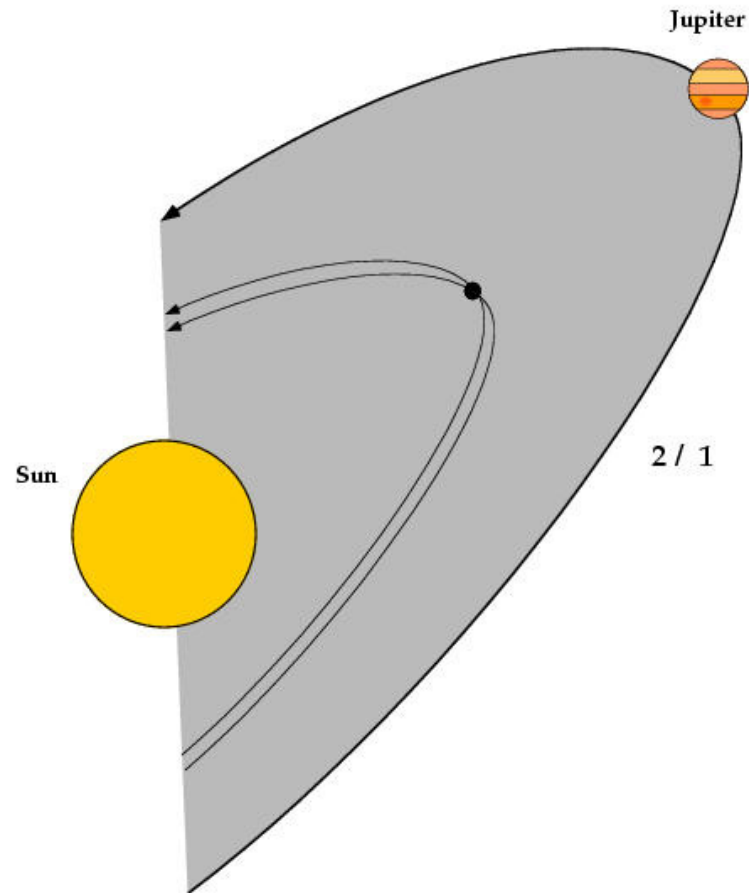


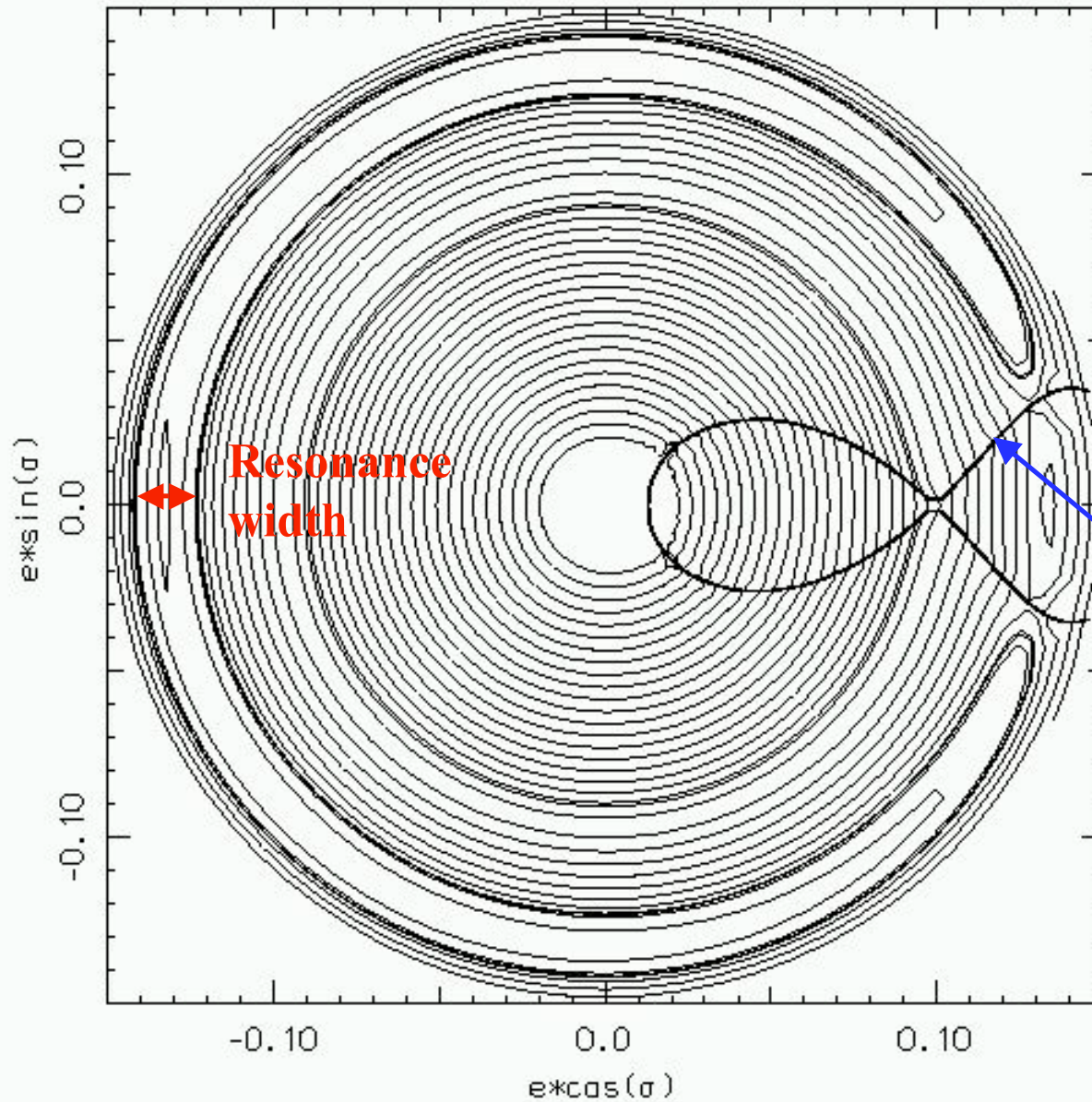
**Collisions produce asteroid families!**

**This will be addressed in Chapter II**



## Mean Motion Resonances

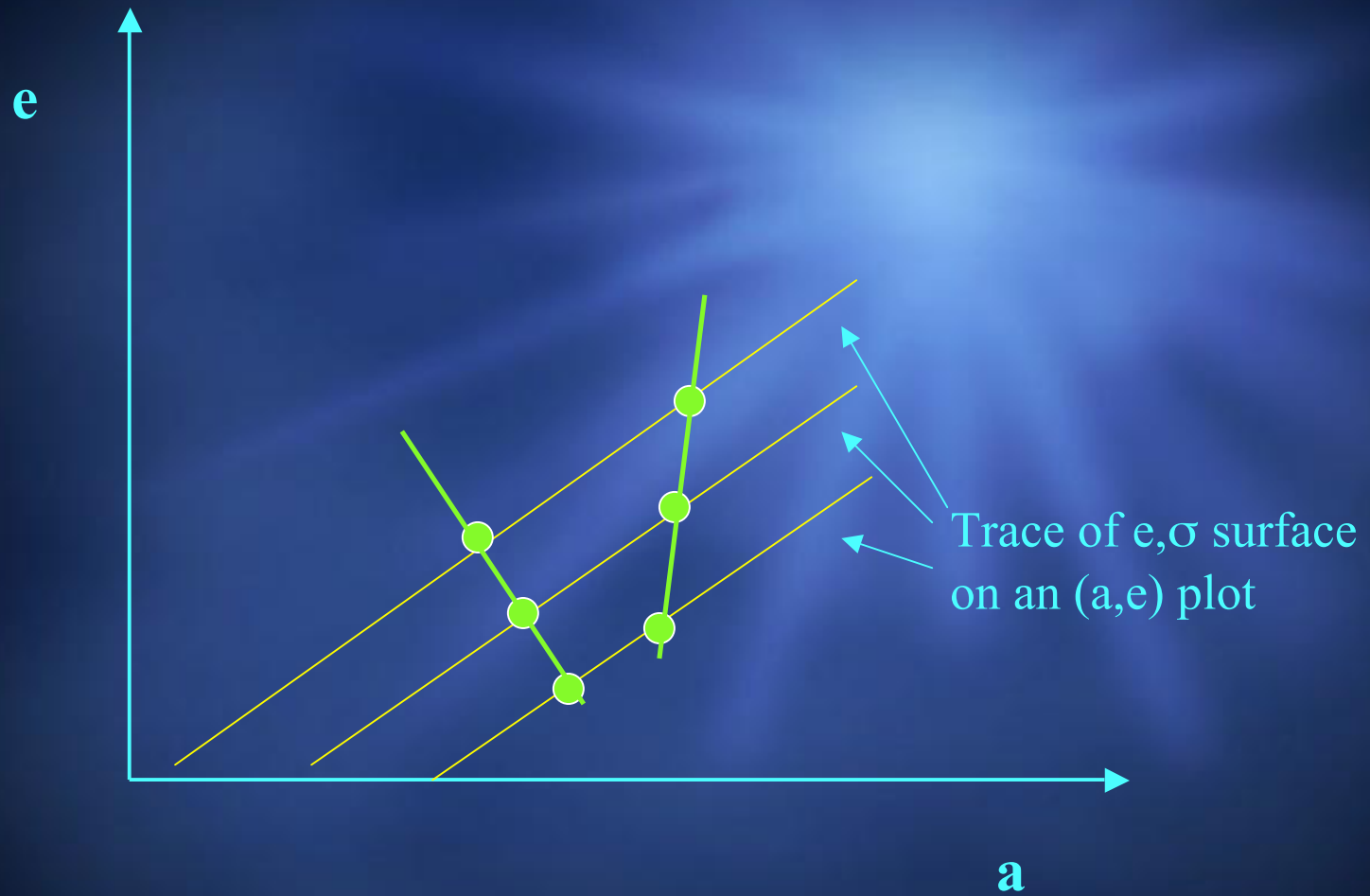




MM Resonance  
 ( $e, \sigma$ ) surface plot

$$\sigma_{i/j} = i\lambda_p - j\lambda - (i-j)\varpi$$

Planet collision line

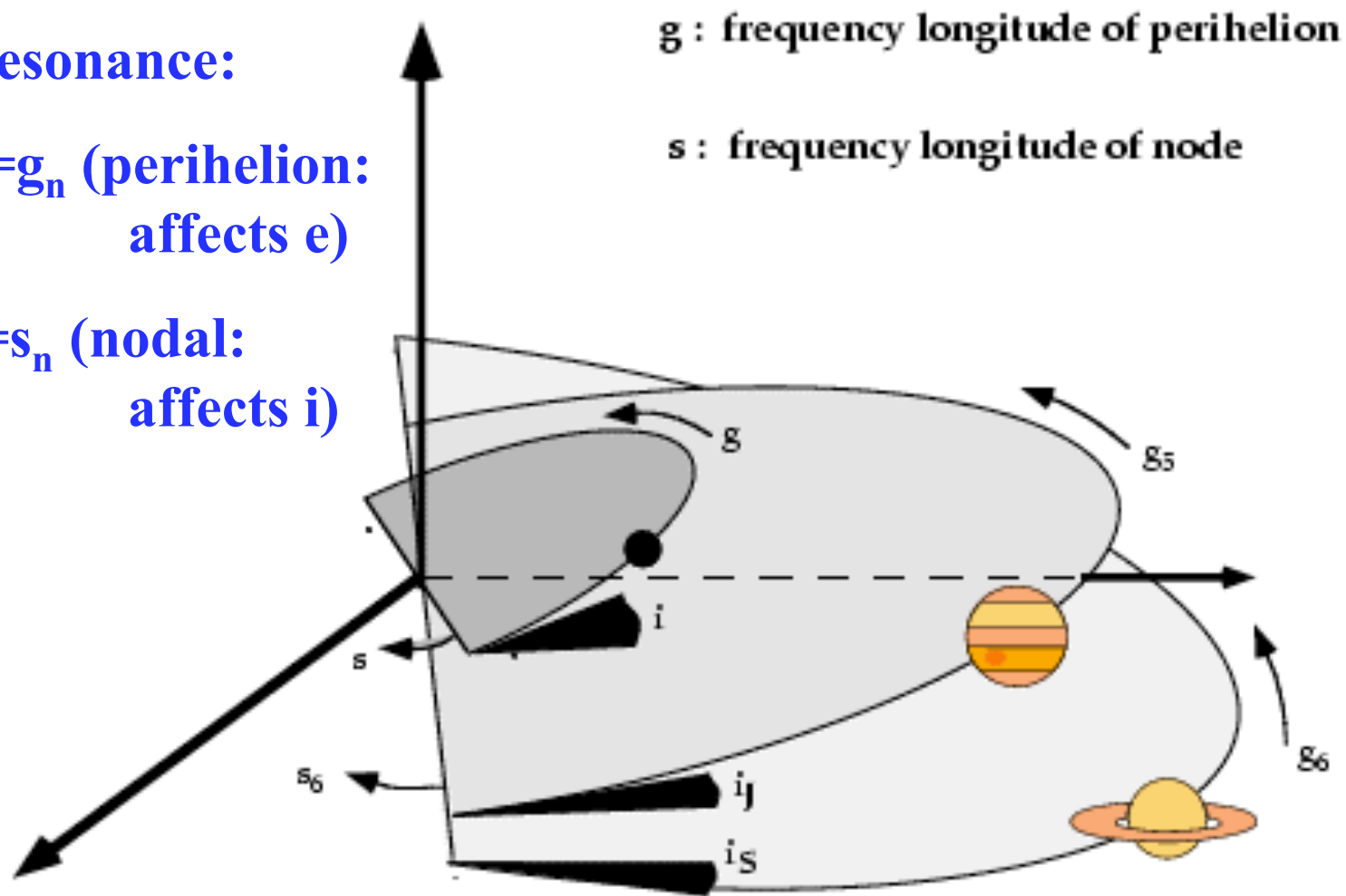


# SECULAR RESONANCES

**Resonance:**

$g=g_n$  (perihelion:  
affects  $e$ )

$s=s_n$  (nodal:  
affects  $i$ )



# Main principle

$$H(\dot{\mathbf{r}}, \dot{\mathbf{r}}_j; \mathbf{r}, \mathbf{r}_j) = \frac{1}{2} \|\dot{\mathbf{r}}\|^2 - \frac{1}{\|\mathbf{r}\|} - \sum_{j=1}^{N_p} m_j \left[ \frac{1}{\|\Delta_j\|} - \frac{\mathbf{r}_j \cdot \mathbf{r}}{\|\mathbf{r}_j\|^3} \right]$$

At first order in planetary mass ( $j = \text{planet index}$ ), the hamiltonian of a massless body expresses as:

$$H = -\frac{1}{2L^2} - \sum_{j=2}^{N_p} m_j P_j(L, G, H, L_j, G_j, H_j; l, g, h, l_j, g_j, h_j),$$

Keplerian part

Planetary perturbations

$$L = \sqrt{a}$$

$$l = M$$

$$G = \sqrt{a(1 - e^2)}$$

$$g = \omega$$

Delaunay variables

$$H = \sqrt{a(1 - e^2)} \cos i \quad h = \Omega$$

# *Assumption: the small body is not in a mean motion resonance*

- ⊕ The Hamiltonian (at 1st order in planet masses) can be averaged over all mean anomalies  $l$  and  $l_j$  (fast angles)

$$\bar{H} = -\frac{1}{2L^2} - \sum_{j=2}^{N_P} m_j \bar{P}_j(-, G, H, -, G_j, H_j; -, g, h, -, g_j, h_j)$$

$L = \text{cste}$ , so we omit the first term and expand the perturbation w.r.t. planetary eccentricities and inclinations:

$$\bar{H} = - \sum_{j=2}^{N_P} m_j \left[ K_0^j + (e_j, i_j) K_1^j + (e_j, i_j)^2 K_2^j + \dots \right]$$

$(e_j, i_j)^r$  are terms prop. to  $e_j^a i_j^b$ , with  $a+b = r$  and  $a, b \geq 0$



# Isolate the first term $K_0$

⊕ It can be shown that:

$$K_0 = \sum_{\substack{\geq 0 \\ p, q \in \mathbb{N}}} c_{0, -v, v, 0, p, q, 0, 0} e^{i^{|2v|+2p} i^{|2v|+2q}} \cos(2v(\underbrace{\varpi - \Omega}_{\omega}))$$

Thus,  $K_0 = f(\varpi - \Omega) = f(g)$

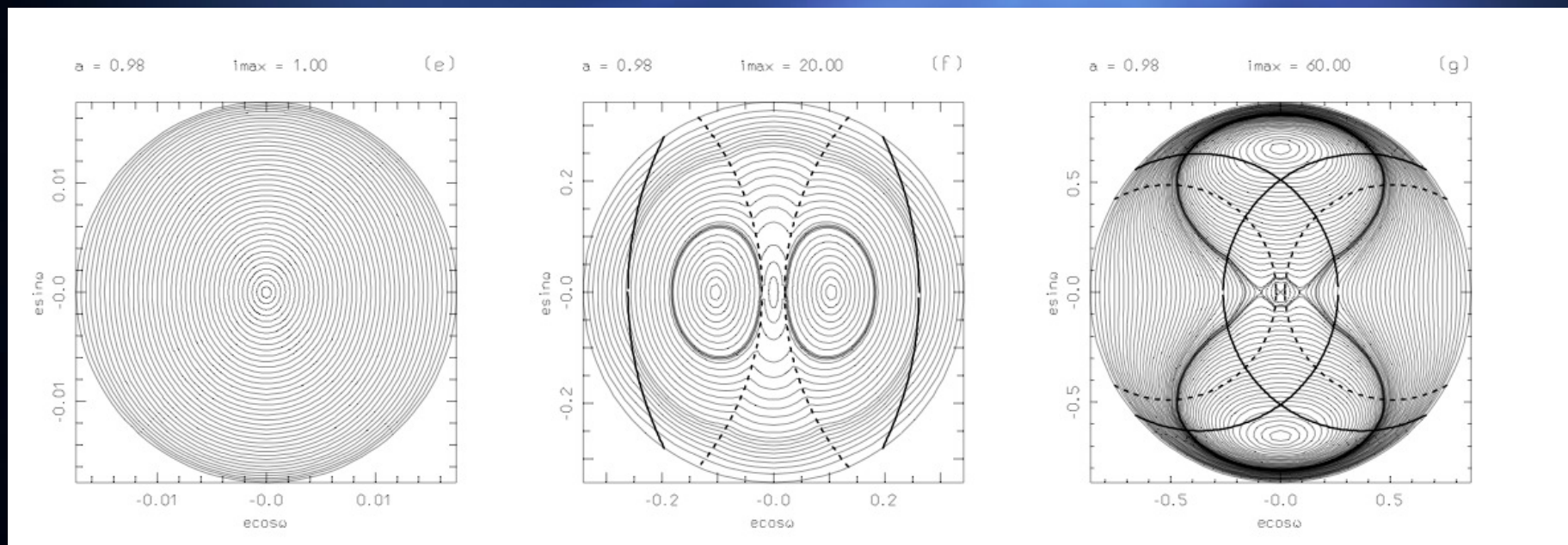
$K_0 = 1$  degree of freedom integrable hamiltonian in the variables  $G, g$  as it depends only on the angle  $g (= \omega)$ .

It is parametrized by the constants actions  $L$  and  $H$ .

Its highly non-linear dynamics can be studied in details (Kozai 1962) by drawing level curves in the  $(e, \omega)$  plane on a surface  $H = \text{constant}$ .

# Dynamics of $K_0$ at $a=0.98$ AU on 3 different surfaces of $H=cst$ , each characterized by a value of $i_{max}$ ( $1^\circ$ , $20^\circ$ , $60^\circ$ )

⊕ Polar diagram ( $e, \omega$ )



From Michel & Thomas (1996, AA 307)

# Location of secular resonances

- ⊕ The free frequencies of  $\varpi$  and  $\Omega$  of the asteroid's orbit in the (a,e,i) space are obtained by integrating wrt time:

$$\dot{G} = - \left( \frac{\partial K_0}{\partial g} \right),$$

$$\dot{g} = \left( \frac{\partial K_0}{\partial G} \right),$$

$$\dot{h} = \left( \frac{\partial K_0}{\partial H} \right)$$

Proper frequencies = average values over a complete cycle of the free oscillations with period T (from  $g=0$  to  $g=g(T)=2\pi$ )

**Secular resonance:** (a,e,i) for which:

$$\langle \dot{\varpi} \rangle = g_{planet}$$

or

$$\langle \dot{\Omega} \rangle = S_{planet}$$

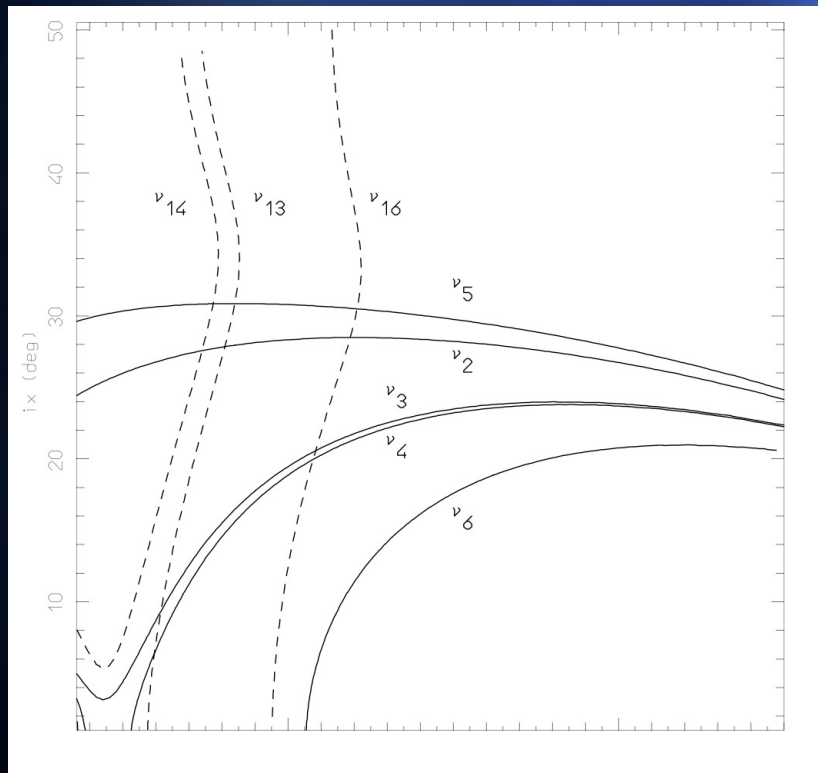
$$\text{Ex: } \nu_6 \rightarrow g_6 \approx 28.22 \text{ ''/yr}$$

# Some secular resonance locations (left: main belt, right: NEO region)

From Michel & Froeschlé (1997, *Icarus* 128)

50°

$i^\circ$



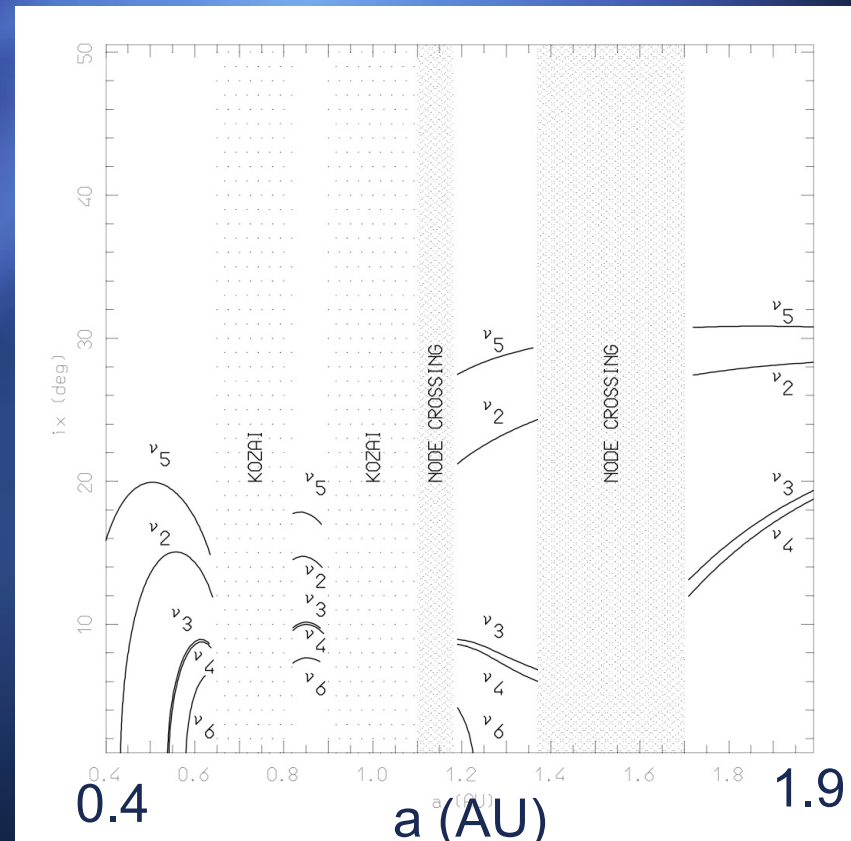
1.5

$a$  (AU)

3.5

COE Planetary  
School 12/4/2006

$e=0.1$



0.4

$a$  (AU)

1.9

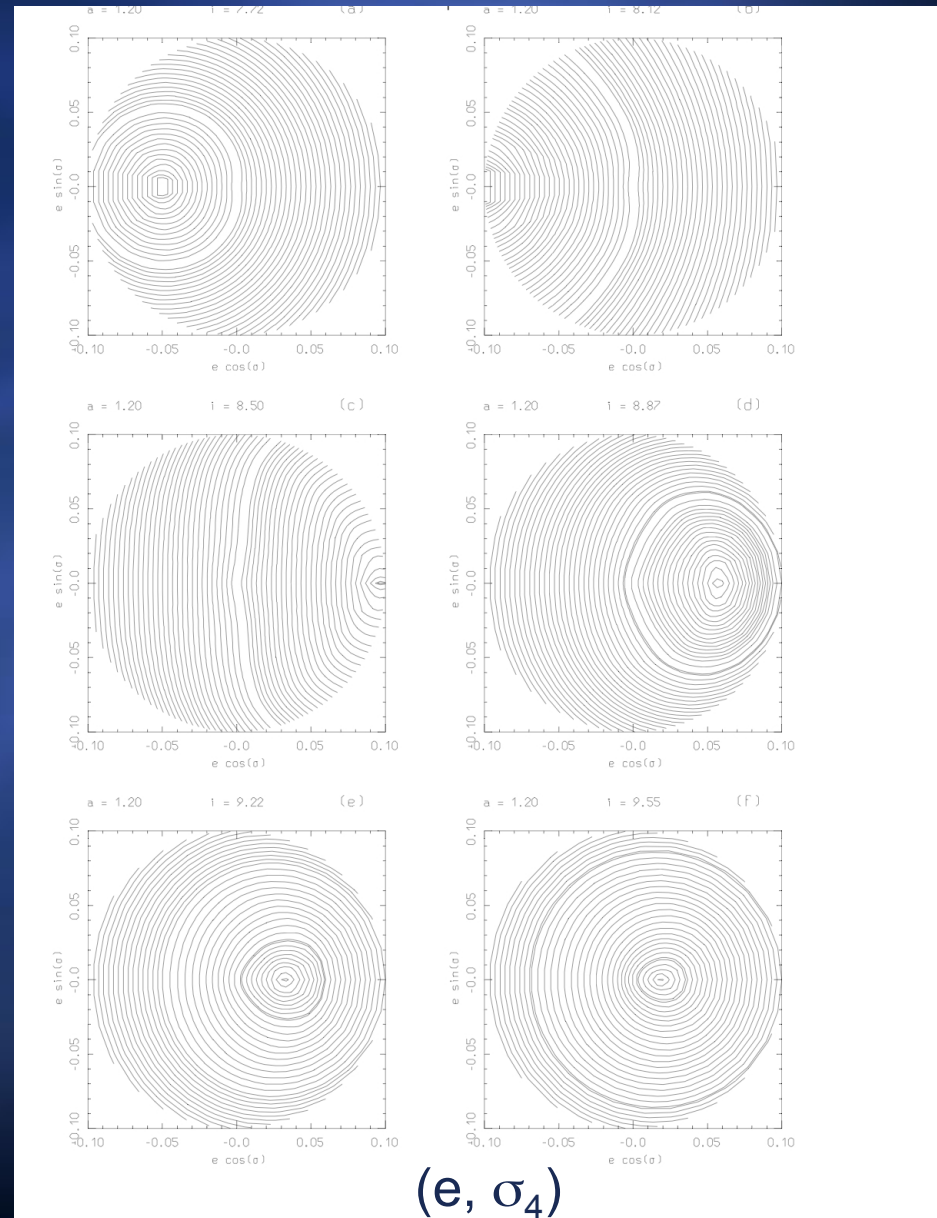
20

# Effect of secular resonances

Needs to consider  
the first-order term  
in  $e_j$  and  $i_j$  of  
the Hamiltonian  
( $K_1$ )

0.1

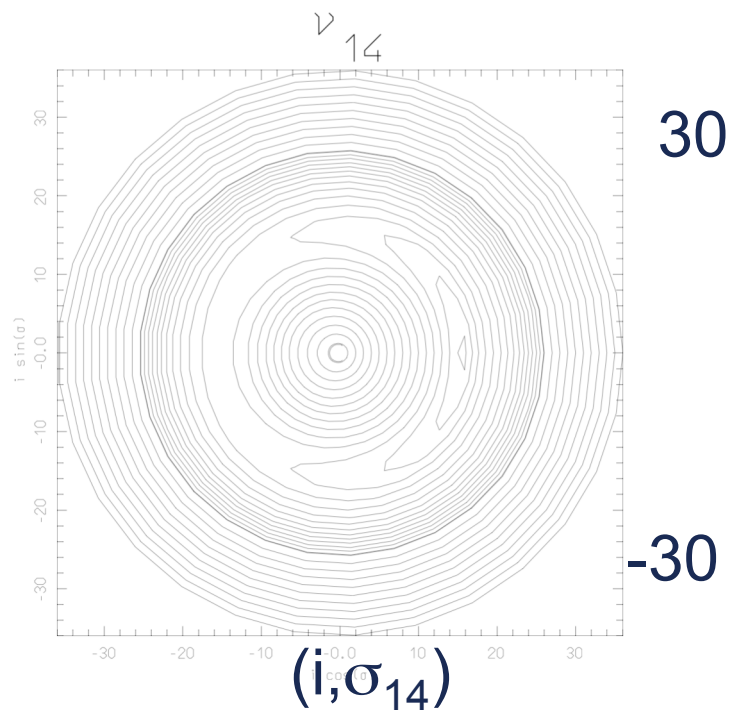
*Ex: effect on  
eccentricity of  $v_4$   
at  $a=1.2$  AU*



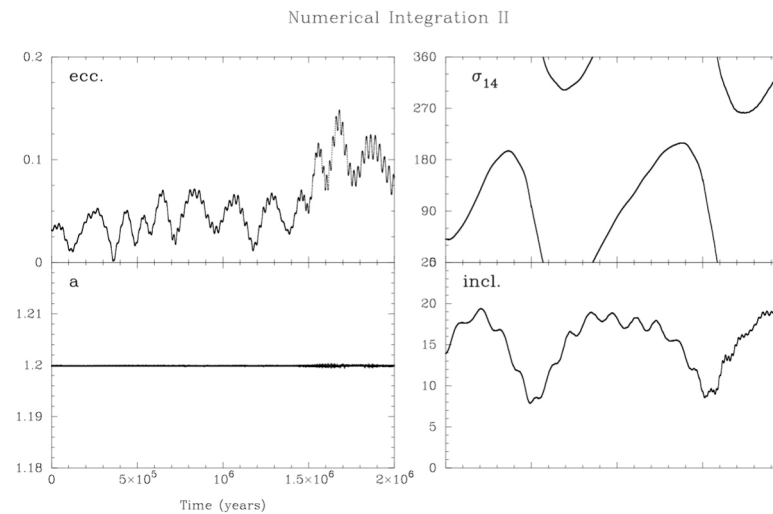
# Effect of secular resonances (II)

Semi-analytical theory

$a = 1.2 \text{ AU}$



Numerical integration



# *Effect of resonance overlapping*

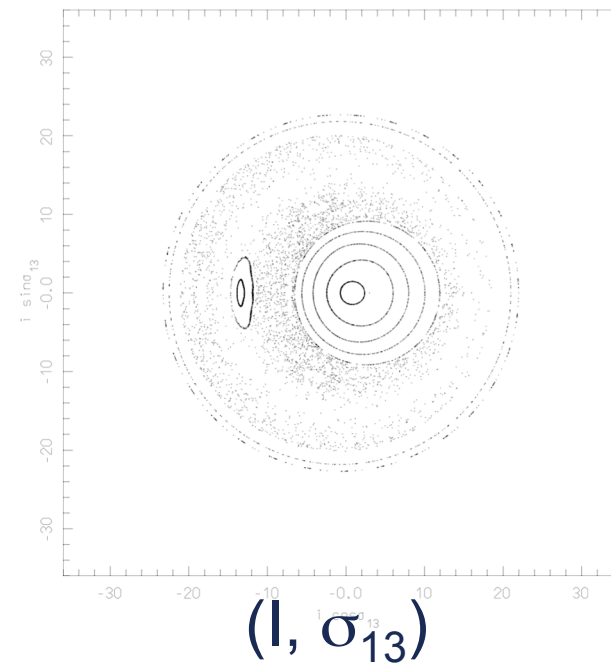
Overlapping of  
 $\nu_{13}$  and  $\nu_{14}$

Surface of section  
at  $\sigma_{14} = \pi$

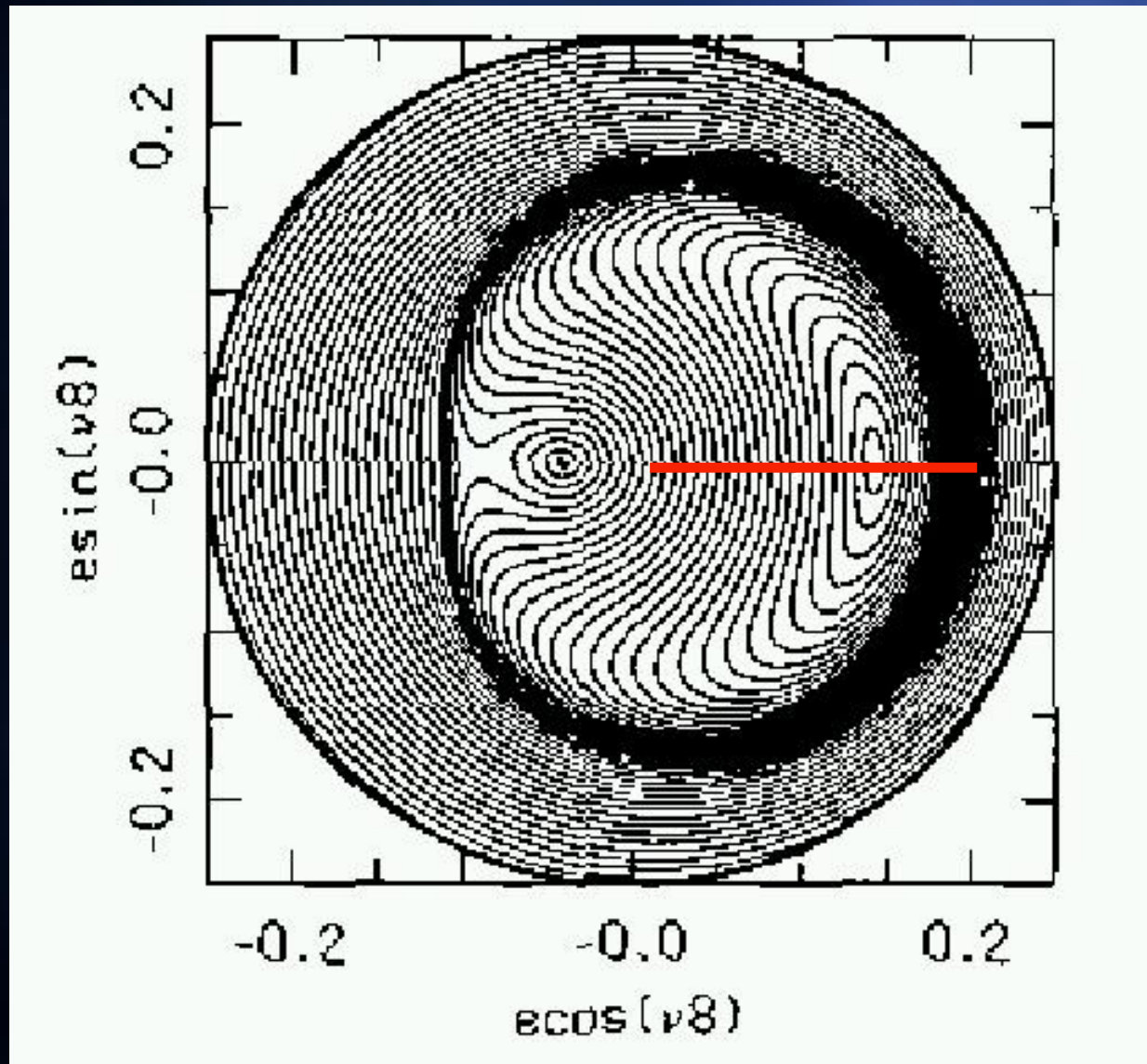
30

-30

$a = 1.2 \text{ AU}$



## Example: Dynamics of the $g=g_8$ resonance at 41 AU



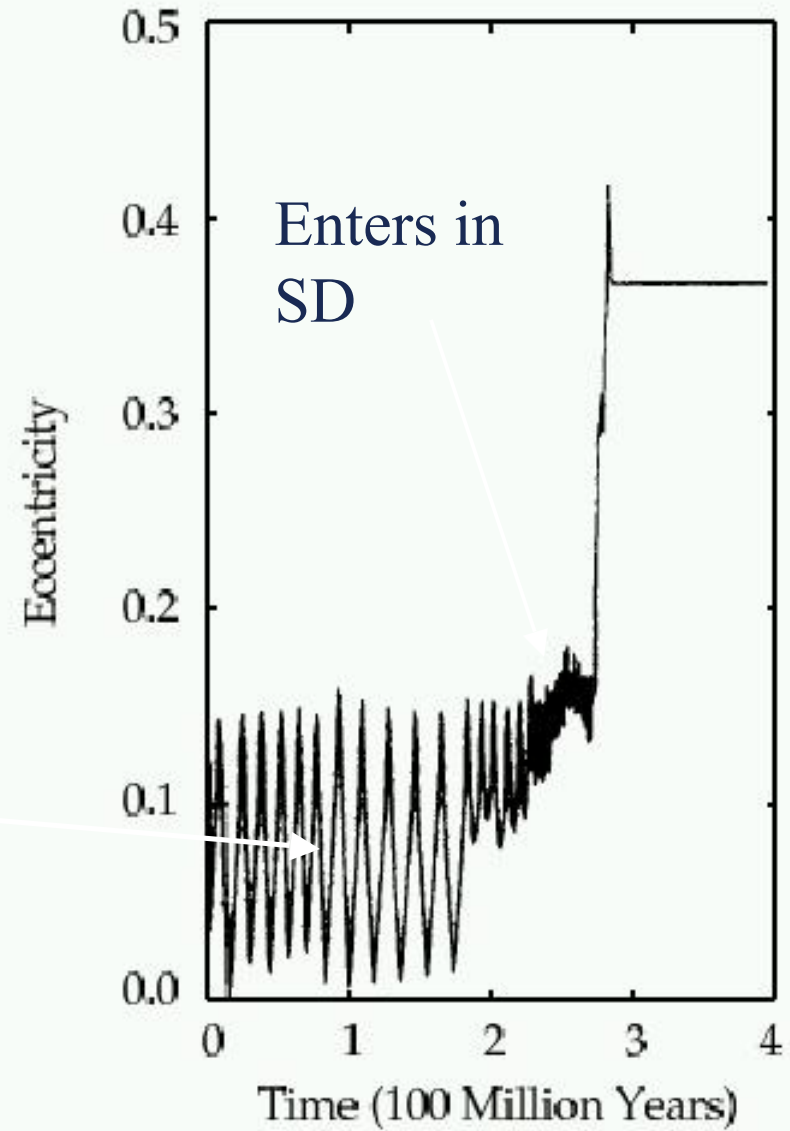
$$\nu_8$$

$$\sigma_8 = \varpi - \varpi_N$$



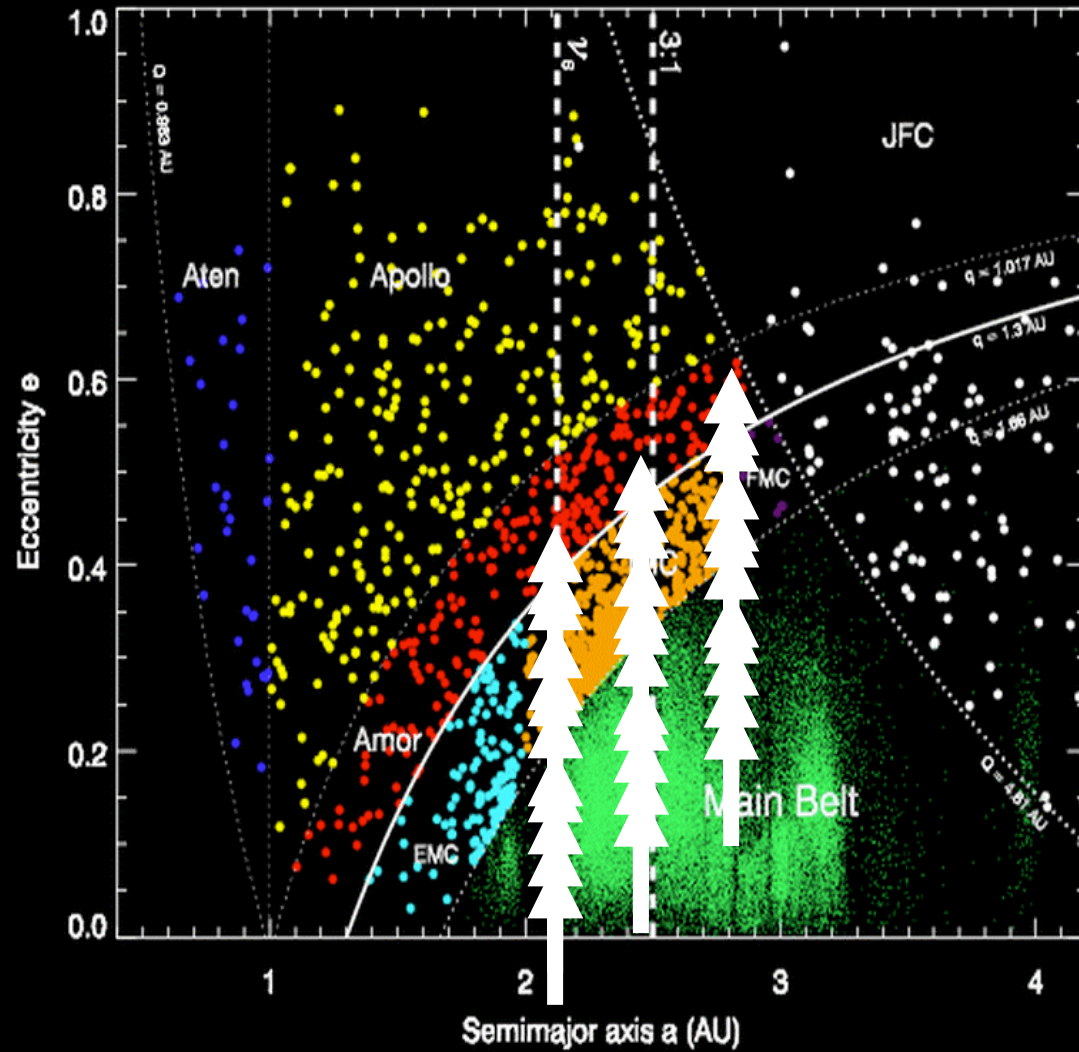
**Simulation of the evolution  
of a body in the  $g=g_8$   
resonance by Holman and  
Wisdom, 1993**

Secular resonance driven  
slow oscillations



# Origin of NEOs

Asteroids from different regions of the Main Belt (MB) are injected into resonances which transport them on Earth-crossing orbits



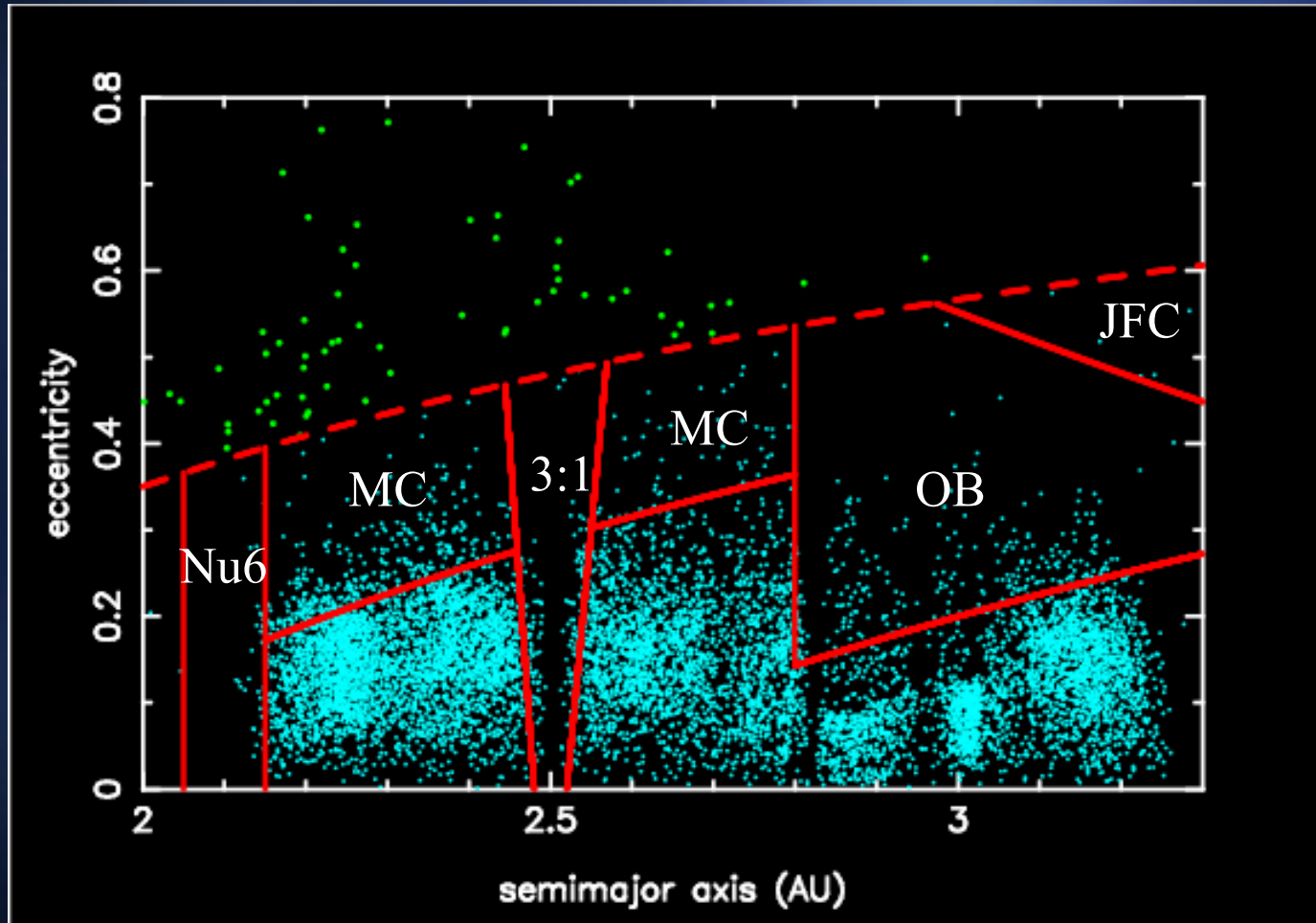
Hamiltonian describing the evolution of a massless body:

$$H(\dot{\mathbf{r}}, \dot{\mathbf{r}}_j; \mathbf{r}, \mathbf{r}_j) = \frac{1}{2} \|\dot{\mathbf{r}}\|^2 - \frac{1}{\|\mathbf{r}\|} - \sum_{j=1}^{N_p} m_j \left[ \frac{1}{\|\Delta_j\|} - \frac{\mathbf{r}_j \cdot \mathbf{r}}{\|\mathbf{r}_j\|^3} \right]$$

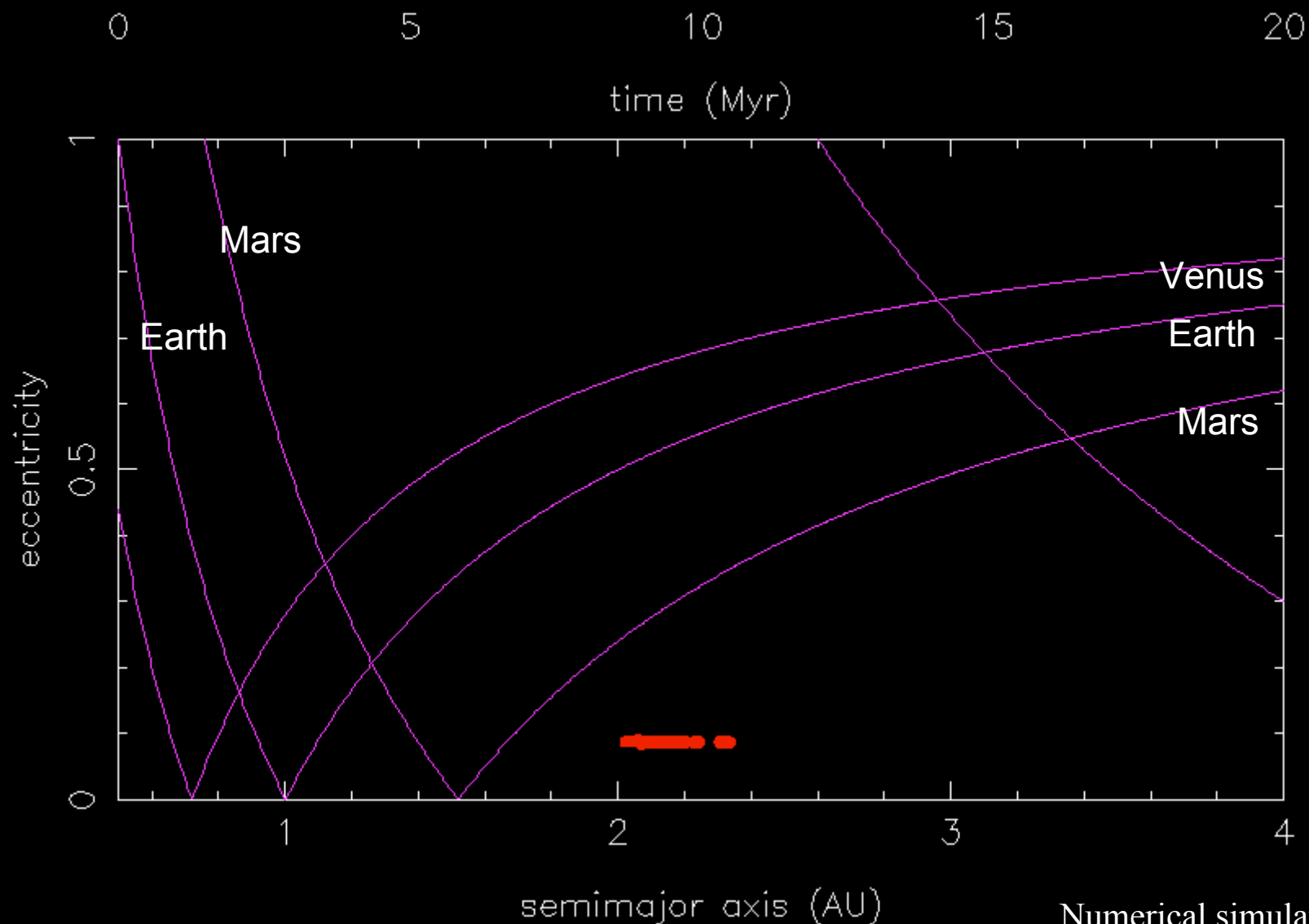
(heliocentric frame)

$$\Delta_j = \mathbf{r}_j - \mathbf{r} \quad G = M_{\text{sol}} = 1$$

# SPECIFIC SOURCES OF NEOs:

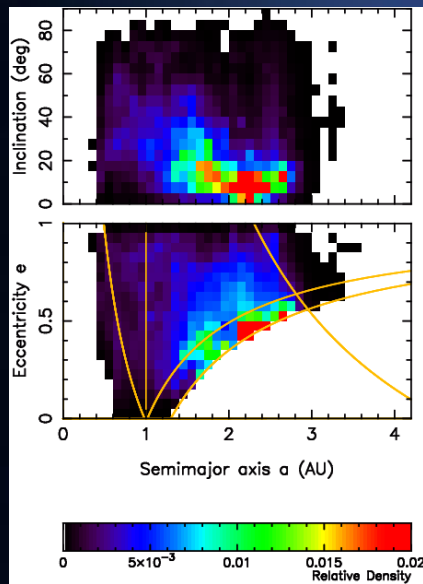


**Fast resonances:** Main Belt Asteroids become rapidly NEOs by dynamical transport from a source region (in a few million years)

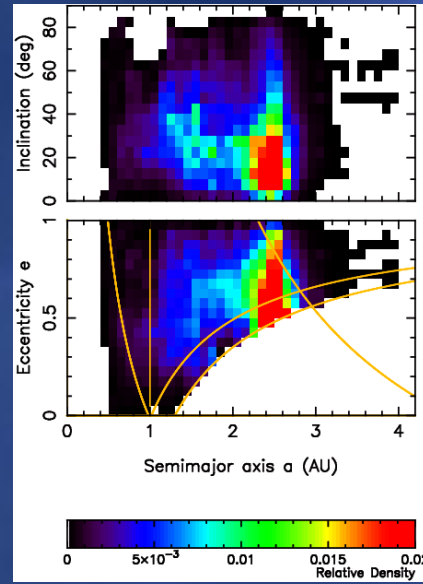


Numerical simulations:  
Several 1000 particles

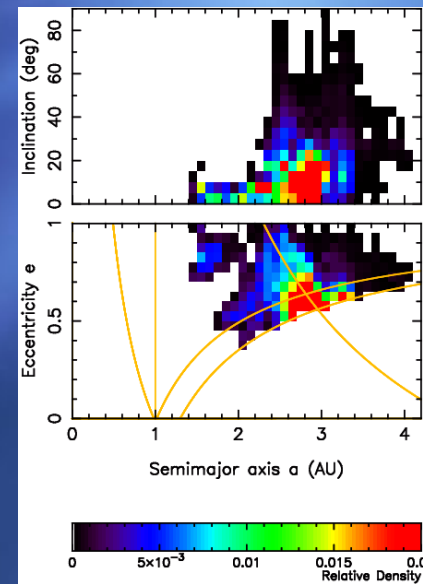
# Combine the sources of NEOs so that applying observational biases on the total distribution reproduces the observed distribution



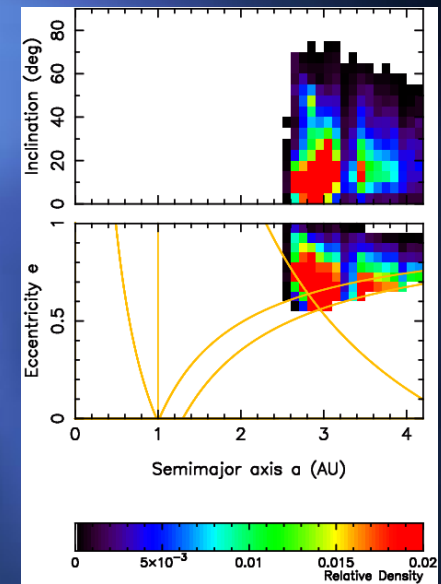
Mars Crossers



3:1



External Belt



Comets (Jup.)

Combine NEO Sources  
 $R(a, e, i)$

nu6

IMC

3:1

Outer MB

JFCs

# Comparison between the *biased* model of NEOs and real data

(5)

Compare with Spacewatch NEO Data  
 $n(a,e,i,H) = \text{"Known NEOs"}$ ?

(4)

"Observed" NEO Distribution  
 $n(a,e,i,H)$

(3)

Observational Biases  
 $B(a,e,i,H)$

Debiased NEO Orbits  
 $\text{Model}(a,e,i,H)$

(2)

Combine NEO Sources  
 $R(a,e,i)$

Abs. Mag. Distribution  
 $N(H)$

(1)

nu6

IMC

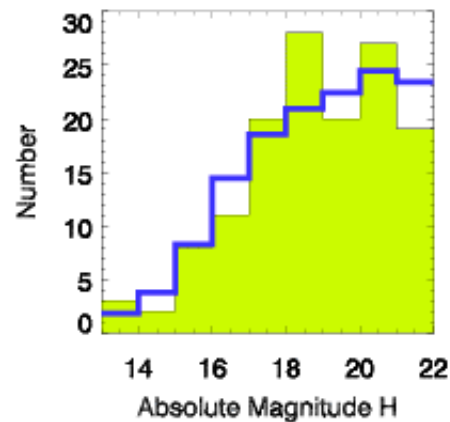
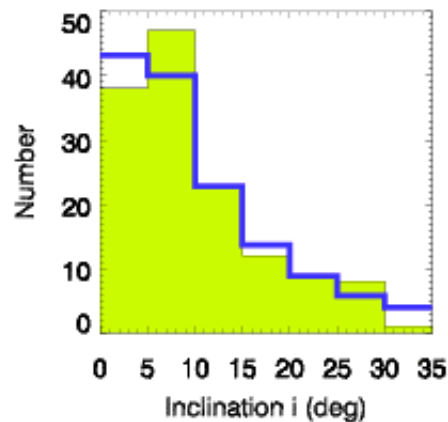
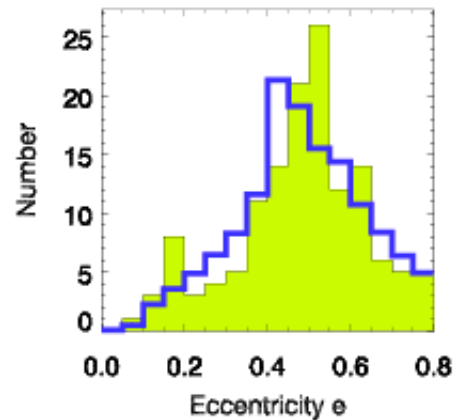
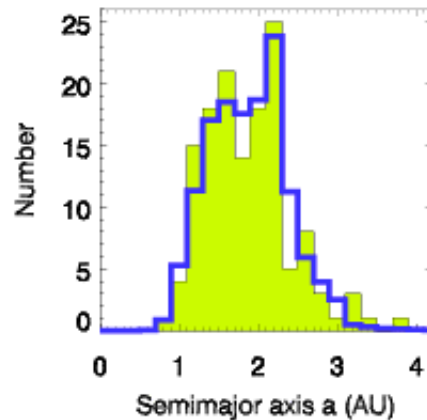
3:1

Outer MB

JFCs

Continue Until "Best-Fit" Found

# Comparison Between Discovered NEOs and Best-Fit Model



## Weighting factors

$v_6$	$0.36 \pm 0.09$
IMC	$0.29 \pm 0.03$
3:1	$0.22 \pm 0.09$
Outer MB	$0.06 \pm 0.01$
JFC	$0.07 \pm 0.05$

Model fit to 138  
Spacewatch NEOs  
with  $H < 22$

# Our model of real orbital and absolute magnitude distributions of *Near Earth Objects*

~1000 NEOs with  $H < 18$  and  $a < 7.4$  AU

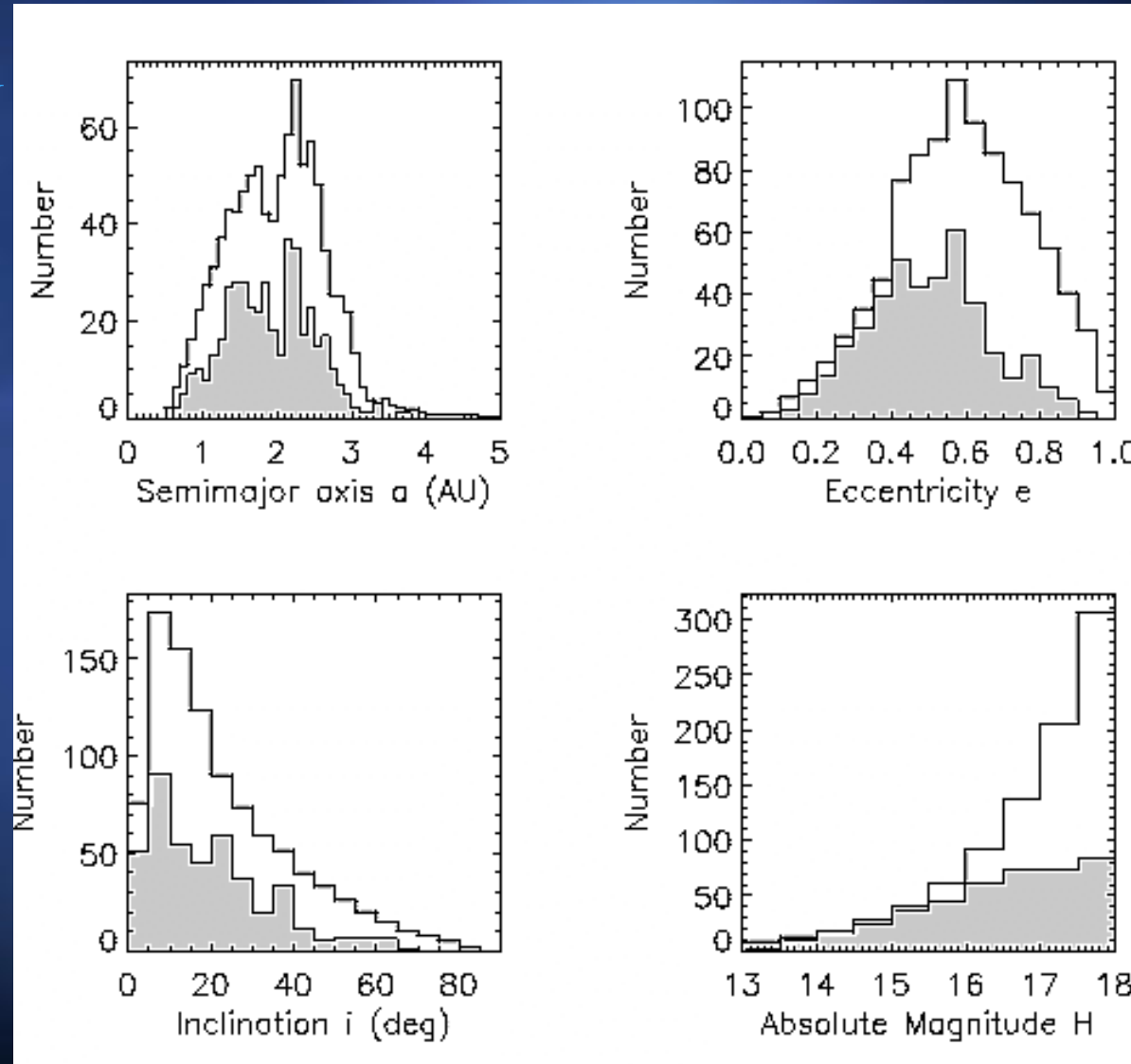
32% Amors

61% Apollos

6% Atens

94% of asteroidal origin

6% dormant comets (Jupiter family)

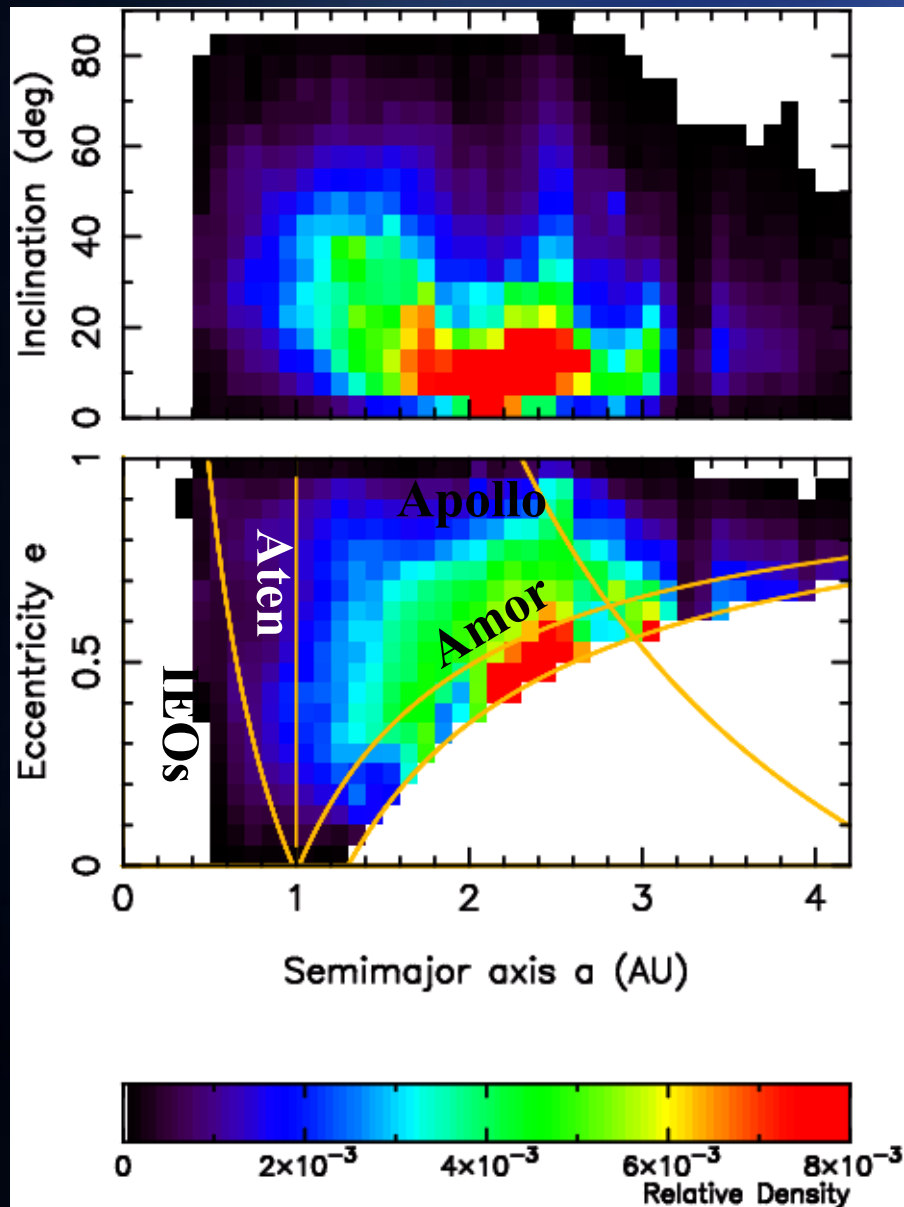


(Bottke et al., 2000, 2002)

White = model; Gray = observations



# Debiased NEO Orbital Distribution



- The NEO population having  $H < 22$  and  $a < 7.4$  AU consists of:
  - 32% Amors.
  - 61% Apollos.
  - 6% Atens.
- 2% are IEOs (Inside Earth's Orbit).

**Estimate of 1 impact with energy > 1,000MT per 64,000 years**

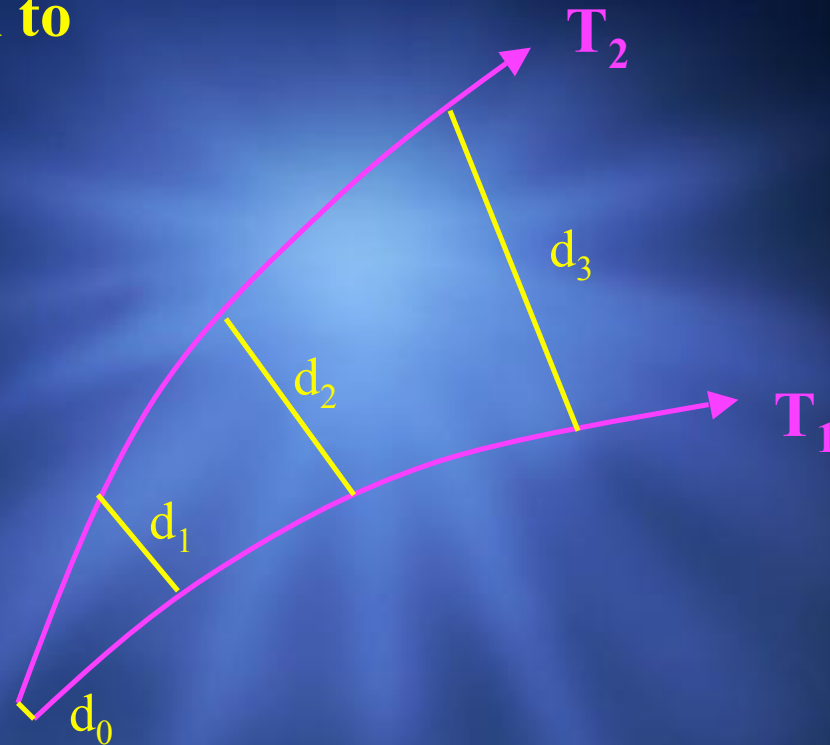
**Known NEOs carry only 18% of this total collision probability  
( $H < 20.5$ )**

Morbidelli et al. 2002

<b>Impact Energy</b>	<b>Mean Frequency (years)</b>	<b>Mean projectile's size</b>	<b>Completeness</b>
<b>1,000 MT</b>	<b>63,000</b>	<b>277 m (<math>H=20.5</math>)</b>	<b>16%</b>
<b>10,000 MT</b>	<b>241,000</b>	<b>597 m (<math>H=18.9</math>)</b>	<b>35%</b>
<b>100,000 MT</b>	<b>935,000</b>	<b>1,287 m (<math>H=17.5</math>)</b>	<b>50%</b>
<b>1,000,000MT</b>	<b>3,850,000</b>	<b>2,774 m (<math>H=15.6</math>)</b>	<b>70%</b>

**The Lyapunov exponent: a tool to  
characterize the chaotic  
nature of an evolution**

$$L = \lim_{t \rightarrow \infty} \text{Log}(d_t)/t$$



NEOs have positive Lyapunov exponent indicating chaotic evolutions

⇒ impossible to make long term predictions of individual trajectories



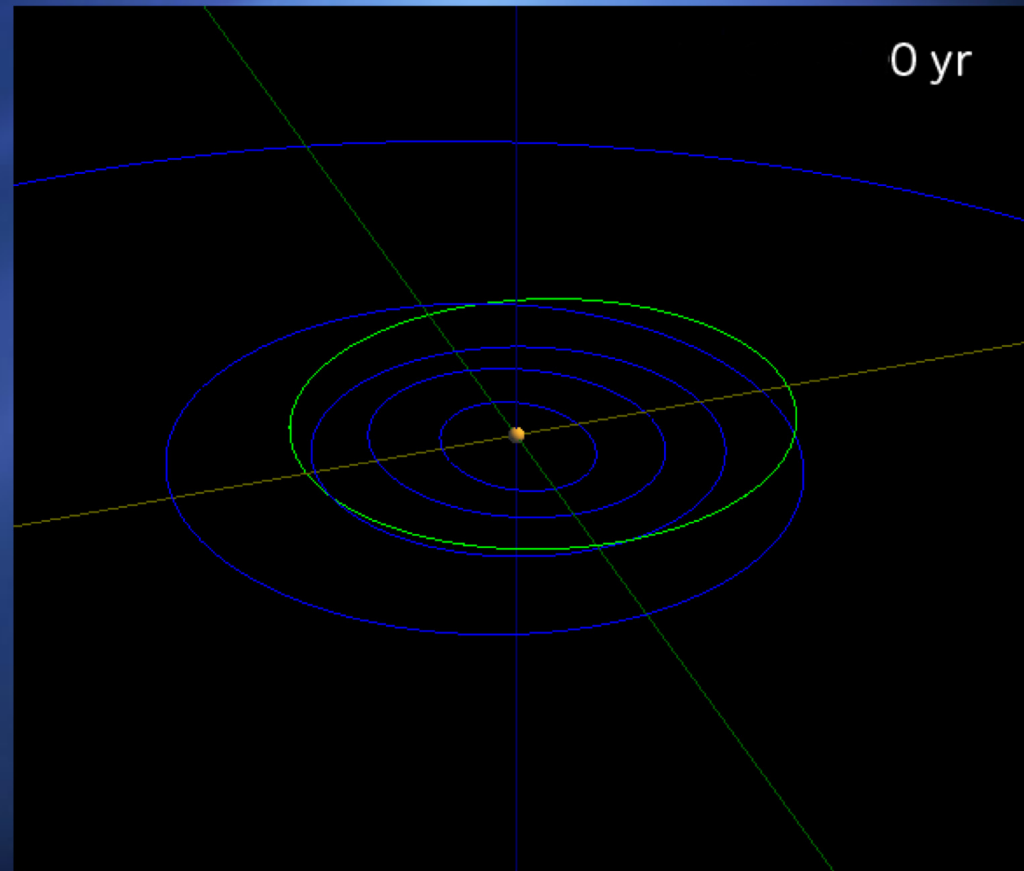
# *NEOs have chaotic evolutions*

## *Example of Itokawa*

Computation of the evolutions  
of **100 initially very close orbits**

**Expected timescale for a  
Collision of Itokawa with  
the Earth: 1 Myr**

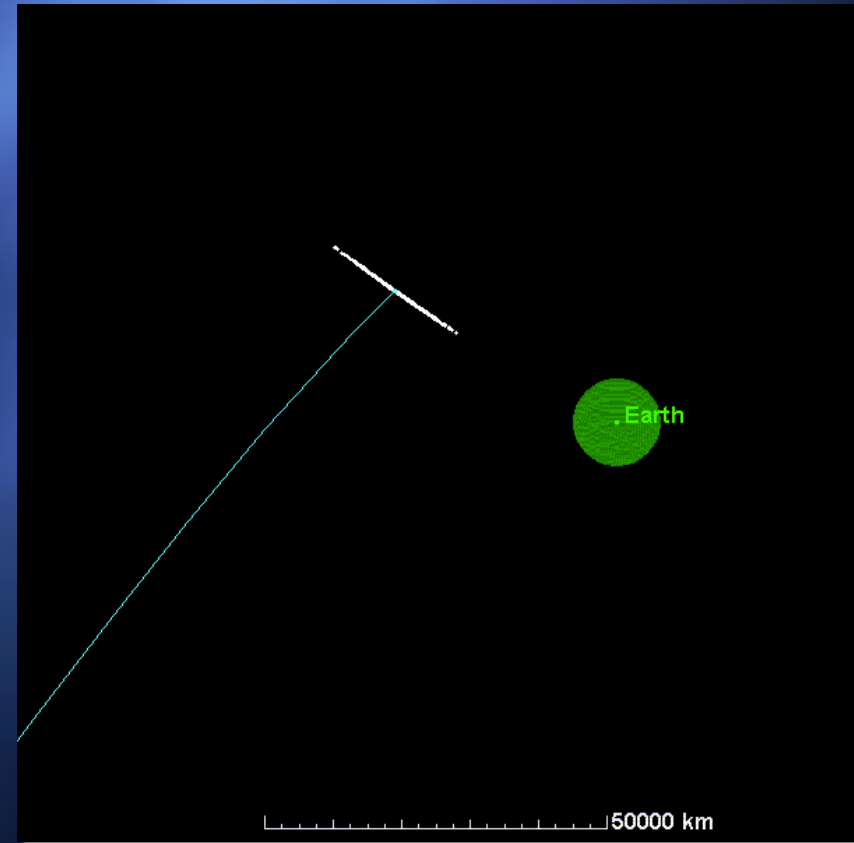
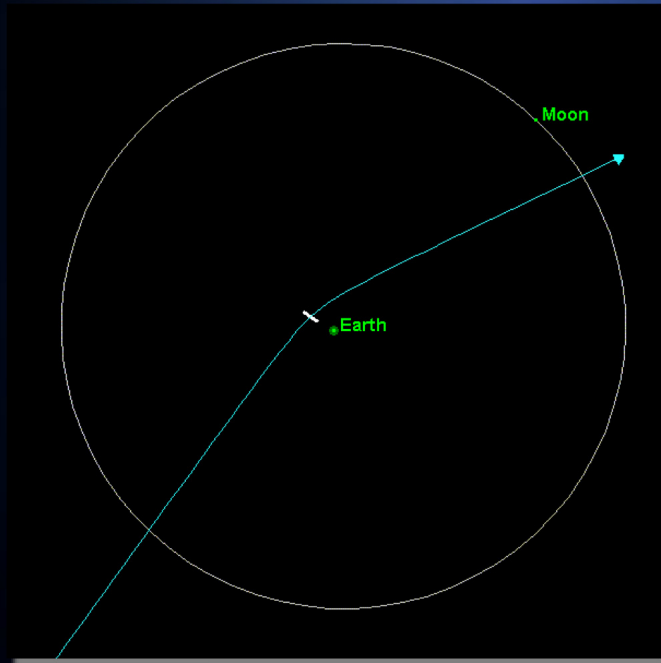
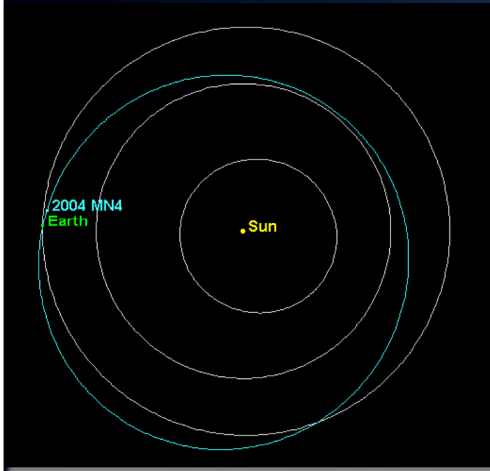
P. Michel & M. Yoshikawa, 2005,  
Icarus 179, 291-296.



# On a shorter term: the threatening object Apophis (size: 300 m)

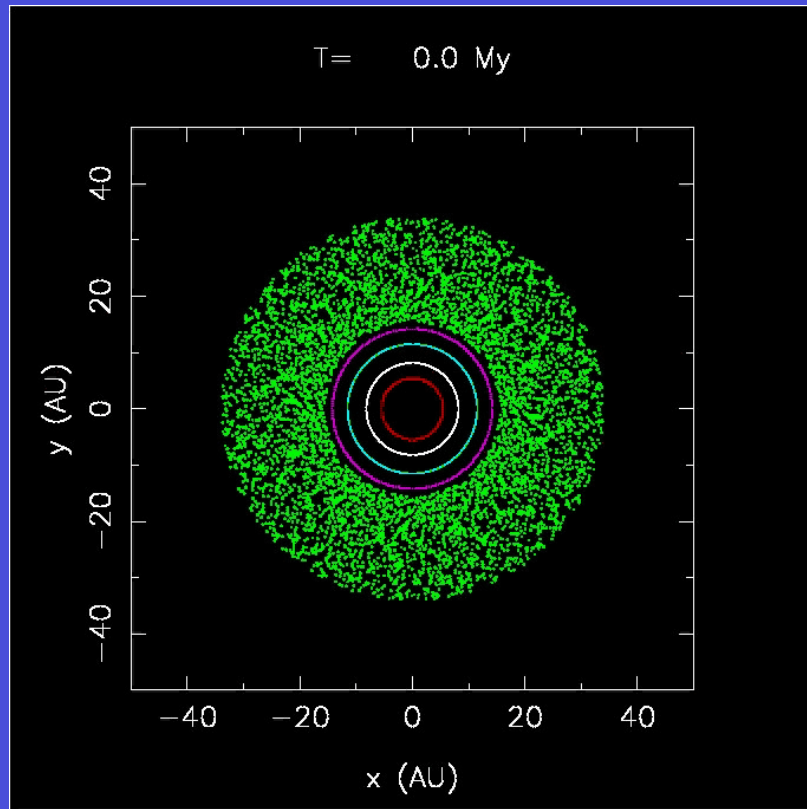
Trajectory uncertainty: 600 m  
within which a solution leads to  
a collision in 2036

In 2029: approach within 32,000 km!!

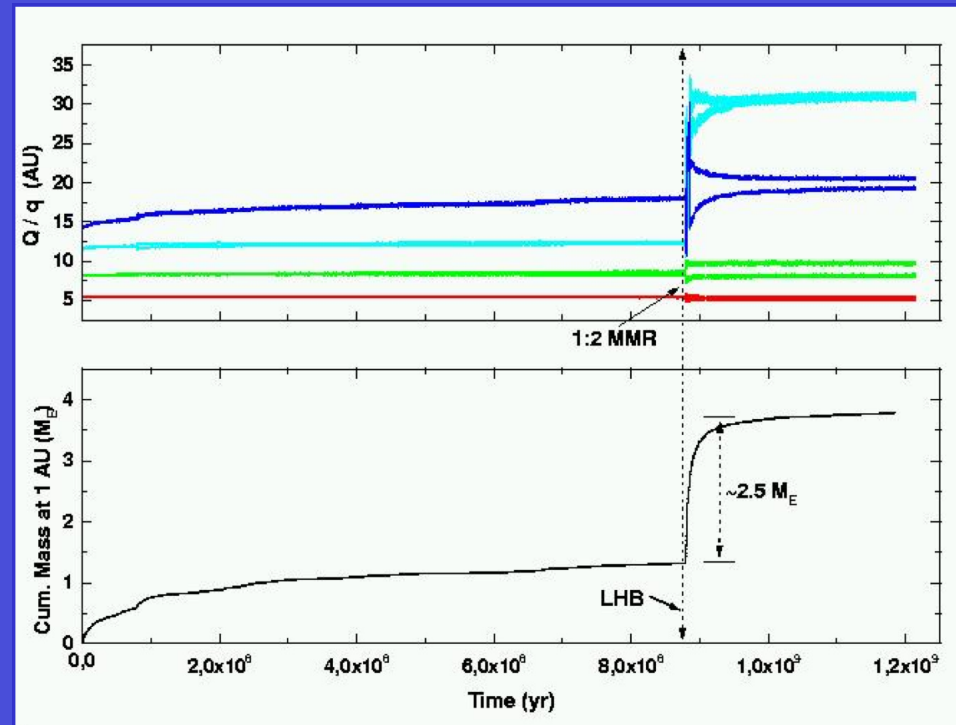


# Origin of the Late Heavy Bombardment (3.9 Byr ago)

Lunar craters



3 articles published in Nature  
(Vol. 435, 2005)



External Solar System (**in red:** Jupiter)  
**In green:** disk of planetesimals

**1st scenario which simultaneously explains:** giant planet eccentricities, origin of Trojans, LHB, and structure of the Kuiper Belt !

# *Conclusion I*

- ⊕ Mean motion and secular resonances = efficient transport mechanisms by increasing eccentricities or inclinations
- ⊕ Most NEOs come from the main belt through resonance channels
- ⊕ LHB can be explained by passage of Jupiter and Saturn in the  $\frac{1}{2}$  MM resonance